

12-07-00

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**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
14133

Total Pages in this Submission

**TO THE ASSISTANT COMMISSIONER FOR PATENTS**Box Patent Application  
Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**PROGRAMMABLE SELECTIVE BROADCASTING RECEPTION SYSTEM, BROADCASTING APPARATUS,  
AND RECEPTION APPARATUS**

and invented by:

**Hideo Shimazu**If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

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☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

**Application Elements**

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 44 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☐ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Microfiche Appendix (if applicable)
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if drawings filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☒ Formal                      Number of Sheets         6
- b. ☐ Informal                      Number of Sheets
4. ☒ Oath or Declaration
- a. ☒ Newly executed *(original or copy)*      ☐ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney      ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby  
incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Paper Copy
- b. ☐ Computer Readable Copy *(identical to computer copy)*
- c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☒ Information Disclosure Statement/PTO-1449      ☒ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Acknowledgment postcard
14. ☒ Certificate of Mailing
- ☐ First Class      ☒ Express Mail *(Specify Label No.):* EL748591589US

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Docket No.  
14133

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**Accompanying Application Parts (Continued)**

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
16. ☐ Additional Enclosures *(please identify below):*

**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

17. ☐ Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

**Warning**

***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Large Entity)**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
14133

Total Pages in this Submission

**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	18	- 20 =	0	x \$18.00	\$0.00
Indep. Claims	14	- 3 =	11	x \$80.00	\$880.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$710.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$1,590.00

- ☒ A check in the amount of \$1,590.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

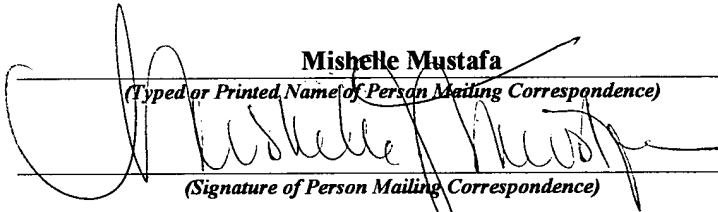
Signature

Paul J. Esatto, Jr.  
Registration No. 30, 749

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(516) 742-4343

Dated: December 6, 2000

cc:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.
Applicant(s): Hideo Shimazu			14133
Serial No. Unassigned	Filing Date Herewith	Examiner Unassigned	Group Art Unit Unassigned
Invention: PROGRAMMABLE SELECTIVE BROADCASTING RECEPTION SYSTEM, BROADCASTING APPARATUS, AND RECEPTION APPARATUS			
<p>I hereby certify that the following correspondence:</p> <div>New Patent Application</div> <p>(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231</p> <p><u>December 6, 2000</u> (Date)</p> <div>Mishelle Mustafa (Typed or Printed Name of Person Mailing Correspondence)</div> <div> (Signature of Person Mailing Correspondence)</div> <div>EL748591589US ( "Express Mail" Mailing Label Number)</div>			
<p>Note: Each paper must have its own certificate of mailing.</p>			

1c682 U.S. PTO  
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**VIA FACSIMILE**

Date: December 6, 2000

To: Yamakawa International Patent Office

Re: Hideo Shimazu  
Information Disclosure Statement  
New U.S. Patent Application  
Your File: P14355-A  
Our Docket: 14133

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U.S. PTO  
Jc683  
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12/06/00

In accordance with your request, we have submitted the above-referenced application to the U. S. Patent and Trademark Office on December 6, 2000. The serial number and filing receipt will follow when we receive them.

Pursuant to 37 C.F.R. § 1.56 and U.S. Patent and Trademark Office (PTO) rules, the applicant is required to disclose all information, including prior art references, which may be considered material to the patentability of the claims. Pursuant to your instructions, we have prepared and filed an Information Disclosure Statement citing the references in the specification.

Please forward any prior art references of which you or the inventors may be aware. If any of these references are not in English, an English translation, if readily available, an English language summary of the pertinent portions or an English language abstract of any such reference would be appreciated.

If any foreign patent offices have issued a search report in a counterpart application, kindly forward a copy of the report, and if not in English, an English translation thereof.

Since the duty to disclose is an ongoing obligation, a U.S. applicant is required to submit to the U.S. PTO information about prior art references within three months of obtaining knowledge of the reference and about foreign patent office search reports of a counterpart application within three months of issuance of the report.

If you or the inventors are not aware of any information to be disclosed to the U.S. PTO, this fact should be conveyed to us, and we will file a statement to that effect. In any event, kindly provide us with appropriate instructions so we may comply with the PTO rules.

Best regards,

  
Paul J. Esatto, Jr.

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Weight	Weight			Mo.	Day	AM	PM
lbs.	lbs.			Mo.	Day	AM	PM
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